

11-29-01

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PATENT
94604-250152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
MICHAEL B. LIEBESKIND ET AL.
Serial No.: UNASSIGNED
Filed: NOVEMBER 28, 2001
For: INSURANCE RISK, PRICE, AND ENROLLMENT
OPTIMIZER SYSTEM AND METHOD

Group No.: UNKNOWN
Examiner: UNKNOWN

11059 U.S. PTO
09/995852
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Dear Sir:

I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (45 pages of specification; 82 claims; 1 page of abstract)
- ☒ 12 sheet(s) of formal drawings
- ☒ Return postcard

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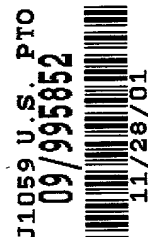
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Dear Sir:

Transmitted herewith for filing is the patent application of
Inventor(s): MICHAEL B. LIEBESKIND and BRENT KINETZ
For: INSURANCE RISK, PRICE, AND ENROLLMENT OPTIMIZER SYSTEM
AND METHOD

Enclosed are:

- ☒ 12 Sheets(s) of drawings (formal)
____ An assignment of the invention to Winged Keel Management Company, Inc.
____ An associate power of attorney
____ A verified statement to establish small entity status under 37 CFR1.9 and 1.27.
____ Declaration and Power of Attorney.
☒ AMEND the specification by inserting before the first line: --This application claims the
benefit of U.S. Provisional Application No. 60/287,537, filed April 30, 2001.--
____ IDS enclosed. ____ with references.
____ Preliminary Amendment.

CALCULATION OF FEES					
ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	FEE
A TOTAL CLAIMS FEE	82 -20*=	55	x \$9 or x \$18	\$ 558	
B INDEPENDENT CLAIMS FEE**	3 -3*=	0	x\$42or x 84	\$0	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$558
D MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$140 LARGE ENTITY FEE = \$280		\$140
E BASIC FEE*			SMALL ENTITY FEE = \$370 LARGE ENTITY FEE = \$740		\$370
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1068
G ASSIGNMENT RECORDING FEE				\$40	\$40
**LIST INDEPENDENT CLAIMS 1, 34, 36					

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_____ Any patent application processing fees under 37 CFR 1.17.

_____ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

_____ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,



Richard H. Zaitlen
Reg. No. 27,248

Dated: November 28, 2001

PILLSBURY WINTHROP LLP
725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033